

Direct Component Project Evaluation Form

Please complete the following information needed to evaluate your proposal. In order to be considered, complete evaluation packets must be received by **October 31, 2017**. Do not leave any questions blank; use N/A if not applicable to your project. The completed form is limited to 20 pages, including a 5 page limit for Section B.1 Proposed Scope of Work. See attached FAQs for submission information.

GENERAL INFORMATION			
Applicant Name:	City of Fairhope		
Point of Contact for matters concerning this project (POC name, email address and phone):	Sherry-Lea Bloodworth Botop 161 North Section Street Fairhope, AL 36532	email: sherrylea@fairhopeal.gov phone: (202) 805-5476	
Proposed Project Name:	Fairhope Working Waterfront and Green Space Project- Fairhope Municipal Pier and South Beach Park Improvements		
A. RESTORE ACT PROJECT CLASSIFICATION			
1. Qualifying eligible activity: Please check the primary eligible activity in the first column and then all other eligible activities that apply in the second column by placing an "X" in the column in the row corresponding to the qualifying eligible activity.	Primary Activity	All Others That Apply	Qualifying Eligible Activity
	<input type="checkbox"/>	<input type="checkbox"/>	Restoration and protection of the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, and coastal wetlands of the Gulf Coast region
	<input type="checkbox"/>	<input type="checkbox"/>	Mitigation of damage to fish, wildlife, and natural resources
	<input type="checkbox"/>	<input type="checkbox"/>	Implementation of a federally approved marine, coastal, or comprehensive conservation management plan, including fisheries monitoring
	<input type="checkbox"/>	<input type="checkbox"/>	Workforce development and job creation
	<input type="checkbox"/>	<input type="checkbox"/>	Improvements to or on state parks located in coastal areas affected by the Deepwater Horizon oil spill
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Infrastructure projects benefitting the economy or ecological resources, including port infrastructure
	<input type="checkbox"/>	<input type="checkbox"/>	Coastal flood protection and related infrastructure
	<input type="checkbox"/>	<input type="checkbox"/>	Planning assistance
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Promotion of tourism in the Gulf Coast Region, including recreational fishing
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Promotion of the consumption of seafood harvested from the Gulf Coast Region
2. Was this proposed activity included in any claim for compensation paid out by the Oil Spill Liability Trust Fund after July 6, 2012? If "Yes," this activity is not eligible for Director Component funding.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		

3. Location a) Please provide the actual location for the activity as street address, nearest intersection, or note boundaries on a submitted map. If there is more than one location for the activity, attach a list of the additional locations, city/town, county, state, and zip code.	Location:	1 North Beach Road (Fairhope Municipal Pier and South Beach Park)
	City/Town:	Fairhope
	County:	Mobile
	State:	AL
	Zip Code:	36532
b) Applicants must demonstrate that the proposed activity will be carried out in the Gulf Coast Region. An activity is carried out the Gulf Coast Region when, in the reasonable judgement of the entity applying for a grant, each severable part of the activity is primarily designed to restore or protect that geographic area. Describe how the proposed activity will be carried out in the Gulf Coast Region as defined in 31 CFR 34.2. Applicant must also attach a map including the location the activity is primarily designed to restore or protect. (See FAQs)		
The City of Fairhope is located within the Restore Act Gulf Coast Region. See attached map showing the location of the City and boundaries of the proposed activity.		

B. DISCUSSION OF SPECIFIC ACTIVITY	
1. Proposed Scope of Work (See FAQs)	
(a) Provide a detailed scope of work that fully describes the project or program for which funding is requested, including:	
<ul style="list-style-type: none"> Need, purpose, and objectives 	
Project Need (include cost of No Action):	
<p>This scope of work entails all project work related to improvements to the shoreline and bluffs along the Eastern Shore of Mobile Bay in Fairhope, Alabama. This project's main criterion involves improvements to the bay shore to insure resiliency, sustainability and human interaction of the City of Fairhope's most precious resource: it's waterfront. More specifically, this SOW concentrates on the First Phase of the overall Working Waterfront and Greenspace Project –Fairhope Municipal Pier and South Beach Park Improvements.</p> <p>The general project location can be described as the Fairhope Municipal Pier, the area containing the pier landing at the foot of Fairhope Avenue and the area to the south along the shoreline know as South Beach Park. The pier landing area is approximately 4 acres of land containing buildings, parking, a large circular drive, walkways, hardscapes, landscapes with a center oval median containing a prominent fountain surrounded by English styled rose gardens. This landing is the access point to the Fairhope Municipal Pier, a 1500-foot-long precast concrete pier projecting westward into Mobile Bay. This pier houses a marina on the northside, a popular restaurant at the midpoint, bathrooms and other amenities. Fairhope Municipal Pier host tourists, visitors, recreational fishermen, citizens and serves as the "Town Square" for all important activities in the life of the City of Fairhope. This landing is protected by a precast seawall along its shoreline radius.</p> <p>South Beach Park is roughly described as a 5-acre linear park along the shoreline of Mobile Bay immediately south of the Fairhope Municipal Pier landing. Geographical elements of the park include approximately 600 linear feet of shoreline on the westside, terraced green space along the center and steep eroding bluff along the eastside. There is 32 vertical feet of elevation difference from the east property line to the toe of the bluff. Most of the gradient (fall) is along the face of the bluff. The shoreline is comprised of 450 feet of bulkhead and 150 linear feet of sandy beach at the southern end of the property.</p>	
Project Purpose:	

Fairhope Municipal Pier and South Beach Park Improvements Project will include, but not be limited to: new construction, improvements, upgrades and remodeling of the of the Fairhope Municipal Pier, Pier Landing and South Beach Park. Work may include: grading, drainage, base, paving, trails, paths, curb, gutters, concrete aprons, concourses, general construction, piers, docks, revetments, bulkheads, seawalls, general marine construction, building construction, landscapes, hardscapes, green infrastructures, low impact elements and all related utilities. All design and construction shall incorporate and use low impact development (LID) standards and green infrastructure methodologies and meet all local, state and federal design codes. All designs and construction must be in full compliance with the Americans With Disabilities Act (ADA), follow "complete streets" objectives and be pedestrian and cycling friendly.

This Project Scope of Work will include all required surveying, environmental engineering, geotechnical engineering, structural engineering, coastal engineering, project planning, project design, landscape architecture, building architecture, all other professional design disciplines, project administration, grant administration, project management, construction oversight (CE&I and material testing), environmental permit(s) (local, state and federal), building permit(s), land disturbance permit(s), utilities permit(s), materials, equipment, labor and all other incidentals to deliver a complete: Working Waterfront and Greenspace Restoration Project: Phase I –Fairhope Municipal Pier South Beach Park Improvements.

Project Objectives:

Project deliverables may include, but not be limited to: full upgrade, replacement and/or installation of drainage infrastructures; stormwater management facilities for the entire site; construction of shoreline structures (breakwaters, jetties, revetments & groins); reclamation of beaches; construction of "living shorelines"; replacement and upgrade of seawalls & bulkheads; pile supported docks and piers; marina facilities; bluff stabilization; incorporation of a "seating gallery" into the bluff face using engineered hardscapes; a focal point structure at the toe of the bluff centered on the "seating gallery"; new restrooms; amenity structures throughout the project; trails, walkways and boardwalks; parking at multiple locations; drives and accesses; curb and gutter; street signage; entrance feature(s); wayfaring signage; main pier remodel (including all structures and amenities); replacement, upgrading and/or installation of park & pier lighting (geared to the human scale); replacement, upgrading and/or installation of utilities: power, water, sewer, gas, telecom and internet; hardscapes; landscapes; aquatic vegetation; and all other incidental materials and/or infrastructures required to deliver a completed project.

The final Scope of Work for this phase will be determined based on all factors such as conceptual designs, permitting requirements, feasibility and availability of funds.

- **How the project/program meets the identified primary activity designated in A1**

The construction of improvements to the City of Fairhope’s Municipal Pier and South Beach Park is an infrastructure project that will benefit the economy and ecological resources. The project will construct improvements to the bay shore to insure resiliency, sustainability and human interaction of the City of Fairhope’s most precious resource: it’s waterfront. The pier houses a marina on the northside, a popular restaurant at the midpoint, bathrooms and other amenities. Fairhope Municipal Pier host tourists, visitors, recreational fishermen, citizens and serves as the "Town Square" for all important activities in the life of the City of Fairhope. This landing is protected by a precast seawall along its shoreline radius.

- **Specific tasks, milestones and related timeframes (Needs to directly correspond to information provided in the Restore Act Milestones Report (See sample in FAQs)**

1. Completion of Conceptual Plans- award date + 2 months
2. Design Scope of Work- award date + 3 months
3. Survey, Design and Engineering- award date + 9
4. All regulatory Permitting- award date + 12 months
5. Local P&Z Site Plan Approval- award date + 12 months
6. Project Letting/Execution of Contract- award date + 14 months
7. Construction- award date + 30 months

8. Project Acceptance and Close Out- award date + 36 months

- Description of all funding sources (please list any other funding sources that will be dedicated to meeting project goals and objectives, both federal and non-federal (corresponds to SF-424-A form in budget attachments)

None

- Projects designed to protect or restore natural resources must be based on **best available science**, (See FAQs). Include a description of the methods to be used to achieve the protection or restoration objective(s). (Also complete Question B10.)

N/A

(b) If the proposed project is part of a larger project outside the scope of this application, describe the larger project and the proposed project's relationship to it.

N/A

2. Budget Justification (See 2 CFR Sub-part E, Cost Principles)

Directions: Explain in detail how the proposed budget supports the proposed scope of work. The budget justification should relate each budget category listed in the SF-424A and SF-424C to the specific tasks discussed in the response to B1. Provide specific justification for ALL budget categories that apply, including an explanation of the necessity, allowability, reasonableness, and allocability of proposed costs. Please refer to the relevant FAQs for descriptions of the budget categories.

1. Construction-

- a) Administration and Legal Expenses- \$250,000.00- The professional services of a grant administration firm will be properly procured to provide professional and technical assistance to the local government as prescribed in accordance with accepted grant management practices. This fee represents 4% of the total project costs, which complies with industry standard for administration of grant projects of this size and complexity.
- b) Architectural and engineering fees- \$418,766.00- The professional services of architectural and engineering firms will be properly procured to provide technical services for the project design and bidding phase of the project. This fee represents 7% of project costs, which complies with industry standard for architecture and engineering design phases of this size and type.
- c) Other architectural and engineering fees- \$78,000.00- The architecture and engineering firms of record will provide additional services as needed, regulatory permitting, survey work, environmental protection, etc.
- d) Project inspection fees- \$281,920.00- The professional services of architecture and engineering firms will be properly procured to provide oversight and project inspection during the construction phase. This fee represents 5% of the project costs which complies with industry standard for engineering design and bid of projects of this size and type.
- e) Construction- \$4,701,477.00- The Town will competitively bid construction contracts to be performed by licensed contractors to perform all of the work necessary to complete the improvements to the Fairhope Municipal Pier and South Beach Park. A preliminary budget was prepared by professional architects and engineers in 2017 that includes a line item break-down of costs included in construction for this project. See attached budget.

3. The Applicant's Selection and Oversight of Contractors, if applicable

Directions: Indicate if the applicant plans to contract out any work described under the Budget Justification (see B2) including construction. If so, the applicant must describe the following:

- Nature of the work to be contracted out and the expected number of contracts to be awarded;

According to preliminary engineering reports, the proposed improvements are the most cost effective and comprehensive approach. The City has the manpower and equipment to maintain the improvements once completed. No property acquisition will take place as part of this project.

The City will properly procure the professional services of qualified architectural, engineering and grant administration firms to design, oversee and implement the project. All construction activities will be competitively bid and performed by licensed contractors.

- Procurement method(s) allowable under 2 CFR 200.320 that will be used for the procurement of the contractor(s);

For the procurement of the professional services of Architecture, Engineering and Grant Administration, 2 CFR 200.320 (d) Procurement by competitive proposals will be followed. For the procurement of the construction contracts, 2 CFR 200.320 (c) Procurement by sealed bids (formal advertising) will be followed.

- Justification under 2 CFR 200.320(f) for sole source procurement, if applicable; and

N/A

- Applicant's plan for monitoring contractor performance and compliance.

All contracts entered into with the Architect Firm, Engineering Firm, Grant Administration Firm and Construction Contractors will include all applicable laws and regulations, and grant provisions. The City Mayor and Council, Purchasing Coordinator, Finance Director, Attorney and project staff will be responsible for monitoring the performance and compliance of the professional services. The Architect and Engineering Firms will oversee the construction contracts and will ensure contractor performance and compliance. The Grant Administrator will monitor the contract performance of the engineering firm and construction contractors with respect to project costs, schedules and performance

If a contractor already has been selected, also include the following:

- Name of each contractor;
- DUNS number of each contractor;
- Date the applicant executed each contract;
- Amount of each contract award;

N/A

- Procurement method allowable under 2 CFR 200.320 that was used for the procurement of each contract;

- Description of the procurement process, as implemented; and

- Justification under 2 CFR 200.320(f) for sole source procurement, if applicable.

N/A

4. Best Available Science

Directions: If the answer to the following question is "yes" complete this section.

Is the proposed activity designed to protect or restore natural resources?

Yes

No

The RESTORE Act requires activities designed to protect or restore natural resources to be based on the "best available science," which is defined in the Act as science that (a) maximizes the quality, objectivity, and integrity of information, including statistical information; (b) uses peer-reviewed and publicly available data; and (c) clearly documents risks and uncertainties in the scientific basis for such projects.

The applicant must make a determination that a project designed to protect or restore natural resources is based on the best available science. In order to support this determination, the applicant must clearly state the protection or restoration objective(s) of the project, describe the methods that will be used to achieve the objective(s), and

explain how these methods are based on best available science. The response must be sufficiently detailed for Treasury to evaluate the reasonableness of the applicant's determination that the project is based on best available science. In addressing the three-pronged test in the definition of "best available science," the applicant must cite, when available, peer-reviewed, objective, methodologically sound literature sources that support the conclusion that the proposed scope of work is an effective way to achieve the stated objectives.

For each literature source cited, the applicant must provide sufficient citations, including:

- Title;
- Journal in which the literature source appeared, if applicable;
- Publication date;
- Author(s); and
- Web address if downloaded or available online.

N/A

The applicant must provide written answers to all of the following:

(Submission of source materials will not satisfy the requirements for answering this question.)

- A summary of the peer-reviewed information that justifies the proposed objectives, including methods used for the proposed activity. If peer-reviewed literature sources are unavailable, the Applicant must explicitly State this and provide A brief explanation of what alternative scientific information sources were used. If the Applicant relied on publicly available data, the Applicant must cite the source of the data, the date of collection, and the size of the data set. Whenever possible, the Applicant should use publicly available data from sources such as State agencies and federal agencies, for example the U.S. Census Bureau, U.S. Fish and Wildlife Service, Environmental Protection Agency, National Oceanic and Atmospheric Administration. The Applicant must provide a link to each publicly available data source used.

N/A

- A summary of how the applicant's methods reasonably support and are adaptable to Gulf Coast Region if the information supporting the proposed activity does not directly pertain to the Gulf Coast Region.

N/A

- A summary of an evaluation of uncertainties and risks in achieving the project's best available science objectives over the longer term; e.g., is there an uncertainty or risk that in 5-10 years the project/program will be obsolete or not function as planned given projections of sea level rise or other environmental change such as in freshwater inflows to estuaries?

N/A

- A summary of the literature sources' conclusions and any uncertainties or risks in the scientific basis that would apply to the proposed activity, including any uncertainties or risks that were identified by the public or by a Gulf Coast Ecosystem Restoration Council member.

N/A

5. Key Personnel

Directions: Key personnel should include the applicant's Authorizing Official who is authorized to sign the grant application and award, the Project Director who is responsible for the project, and the Financial Officer who is responsible for maintaining the accounting and financial records of the grant. Please provide an Organizational Chart.

Karin Wilson, Mayor
161 N. Section Street
Fairhope, AL 36532

email: Karin.wilson@fairhopeal.gov
phone: (251) 422-0669

Sherry-Lea Bloodworth Botop, Project Director
161 N. Section Street
Fairhope, AL 36532

email: sherrylea@fairhopeal.gov
phone: (202) 805-5476

Richard Johnson, Public Works Director
555 S. Section Street
Fairhope, AL 36532

email: Richard.johnson@fairhopeal.gov
phone: (251) 928-8003

6. Possible Material Risks to Implement and Maintain the Proposed Activity

Directions: List the possible material risks, e.g., operational, legal, regulatory, budgetary, or ecological risks, with a brief discussion of mitigation strategies that the applicant may need to address in order to implement and/or maintain the proposed activity. If the applicant determines that there are no material risks to implement and maintain the proposed activity, then put 'None' in the Risk column below.

Risks:

None

Mitigation Strategy:

N/A

7. Permits, Land Acquisition, Construction, and Relocation Assistance

Directions: Answer the following items concerning permits, construction, land acquisition, and relocation assistance, if applicable.

(a) Permits

Does the proposed activity require any federal, tribal, state, or local permits? For potential federal permits needed, see: (<https://www.permits.performance.gov/tools/federal-environmental-review-and-authorization-inventory>). If yes, list the specific federal, tribal, state, or local permits required for this project and the status of the permits:

Yes- Project will likely require a United States Army Corps of Engineers (USACE) permit. No permit has been sought yet.

(b) Land Acquisition and Construction Activities

Will land be improved? If yes, answer questions i-vi

Yes No

Will land or interest in land be acquired? If yes, answer questions i-vii

Yes No

i. What are the legal rights that will be acquired?

Fee Title Easement Other None

ii. If an easement, what is the life of the easement?

N/A

iii. Who will hold title to the land?

N/A
iv. What is the total acreage of the proposed property interest to be acquired (easement or fee title)?
N/A
v. Has the applicant obtained a recent certified appraisal of the property? If yes, attach a copy of the appraisal.
Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
vi. Has the applicant obtained a recent title opinion or certificate? If yes, attach a copy of the title opinion or certificate.
Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
vii. Attach a signed statement from the seller(s) that he/she is a willing seller and has not been coerced into selling or conveying the property interest.
N/A
viii. Attach the legal description of the property and the tax parcel number.
N/A
(c) Relocation Assistance
Will the proposed project cause the displacement of any persons, businesses, or farm operations? If yes, as required by Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, explain: the number of displaced persons, including businesses and farm operations; what fair and reasonable relocation payments and advisory services will be provided to any displaced persons; and what provisions will be made to ensure that safe, decent, and sanitary replacement dwellings will be available to such persons within a reasonable period of time prior to displacement.
No
8. Additional Project-Specific Factors
- Please discuss the proposed project's short-term and long-term benefits
The short-term benefits that will occur because of the implementation of this project will be the establishment of a resilient public waterfront that improves the stabilization of coastal edges, and the dramatic topographical bluff. Furthermore, the waterfront will be much more accessible to the public from an ADA standpoint and will become more navigable for the elderly. These funds will provide a substantial investment in the protection and enhancement of Fairhope's main waterfront greenspace and will orient the visitor and resident alike to the unique qualities of the bluff and the Mobile Bay by providing a "front row seat" on the water's edge. This project will provide an area that will anchor Fairhope as a coastal bay town, and create economic benefits for the city long term not only by protecting one of our most valuable environmental assets (the bluff and Municipal Pier waterfront), but by also providing a waterfront venue for diverse activities. Its residents will be able to enjoy the improved facilities for many years to come.
- The purpose of the RESTORE Act is to provide funding for ecological and economic recovery efforts for damages incurred as a result of the Deepwater Horizon Oil Spill. Please discuss how the proposed project's implementation will prevent any adverse impacts elsewhere.

N/A

- Does the proposed project expand or promote an existing industry or offer diversification? If so, please explain:

From an ecological standpoint, this project will be a coastal model for waterfront improvements and resilience. Coastal protection with a stabilized jetty and a living shoreline including green infrastructure will help improve the current conditions of quickening erosion present at the existing site of this edge.

The economic recovery strategy of this project is focused on tourism, which is a significant driver for the city's economy. This improved amenity will enhance the tourists' experience in Fairhope which may result in more visitor time spent in this area. Additionally, the city, along with local businesses, will capitalize on the opportunity to engage visitors to this amenity.

By implementing the green infrastructure component of this site redevelopment, stormwater runoff will be limited and the quality of remaining runoff will be substantially improved, minimizing the impact to the coastline. Protecting the bluff from future erosion through the stabilization plan will not only protect an integral part of our multi-modal trail system, but will also ensure the continued protection of properties that enjoy a shield from some harsher elements that may be endured if it were further compromised.

- Does the proposed project create short-term job opportunities? If so, how many? Please justify:

The proposed project promotes and expands the tourism and seafood industries for the community. With opportunities for local seafood markets (something that does not currently exist on Fairhope's waterfront), tourists and residents will experience the opportunity to purchase fresh seafood on the water's edge. With an improved waterfront, tourists will have access to entertainment, as well as ecotourism activities. This may cause tourists to stay longer in Fairhope, which will benefit local businesses, including hotel industry, restaurants and shops. The short-term job opportunities will be great, as the jobs associated with this project include design, engineering, permitting, and construction. This project will create opportunities for anywhere from 100-200 people.

- Does the proposed project create long-term job opportunities? If so, how many? Please justify:

The long-term implication of job opportunities are insurmountable as this project will effect so many facets of the community from restaurants, hotels, seafood, construction, maintenance, and general tourism. It is difficult to calculate the residual job creation of a project like this, but we will venture to say that it could be anywhere from 300-500 people as the multiple uses are realized.

- Please discuss how the proposed project will be sustained post-implementation including any annual recurring costs

This project will be maintained by the City of Fairhope's Public Works department, and will likely require additional employees to manage the day to day maintenance operations. The estimated annual maintenance cost range of this facility will be between \$65,000-90,000.

- Please discuss how the proposed project will use cutting-edge technology (i.e., LID, LEED, permeable surfaces)

As previously mentioned, the site design for this project will use modern green infrastructure and low impact development techniques to minimize and purify stormwater runoff. All methods of treatments from bioretention to permeable pavements shall be used to reduce the stormwater runoff coefficient.

- Because the Gulf Coast Restoration Trust Fund will receive deposits over a 15-year period, the Council may consider funding projects in phases. In the event this proposed project is not fully funded, please discuss how the project might be implemented in phases. Keep in mind each phase must result in a stand-alone product.

This project could easily be broken up into phases. The major program elements will be such that each phase could be designed and constructed with a minimal impact or transition into the other elements of the project.

RESTORE Act Environmental Checklist
Department of the Treasury

OMB Approval Number 1505-0250

Directions: The following questions will aid the applicant in identifying the environmental laws that may apply to the eligible activity and the environmental documents that may be required from listed agencies and submitted with the grant application. Follow up to the questions should be listed in the table located on the last page of the checklist. Treasury will use the submittals to record the Applicant's assertion that it has complied with applicable environmental laws.

PROPOSED PROJECT NAME: Fairhope Working Waterfront and Greenspace Project

APPLICANT NAME: City of Fairhope

FEDERAL LAWS

1.1 NATIONAL ENVIRONMENTAL POLICY ACT (NEPA)

The NEPA of 1969 (42 U.S.C. 4321 et seq.) provides a national policy that encourages "productive and enjoyable harmony between man and his environment; to promote efforts which will prevent or eliminate damage to the environment and biosphere and stimulate the health and welfare of man . . ." The NEPA requires that all federal agencies use a systematic, interdisciplinary approach for protection of the human environment; this approach will ensure the integrated use of the natural and social sciences in any planning and decision-making that may have an impact upon the environment. The NEPA also requires the preparation of a detailed Environmental Impact Statement (EIS) on any major federal action that may have a significant impact on the environment. An Environmental Review may be required based on the answers to the following questions:

1) Will the proposed activity be under the permitting authority of any federal agency?

Yes No

2) Will the proposed activity receive federal assistance (other than RESTORE Act funding)?

Yes No

3) Will the proposed activity be subject to any federal regulatory decision or approval?

Yes No

If the answer to any of these questions is "yes," contact the relevant federal agency or agencies for further guidance on environmental compliance. Additional information concerning NEPA can

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1505-0250. Comments concerning the time required to complete this information collection, including the time to review instructions, search existing data resources, gathering and maintaining the data needed, and completing and reviewing the collection of information, should be directed to the Department of the Treasury, Office of Gulf Coast Restoration, 1500 Pennsylvania Ave., NW, Washington, DC 20220.

be found at: <https://ceq.doe.gov/>.

- 4) Has any environmental review (e.g., NEPA documentation or state or tribal equivalent) been prepared for this proposed eligible activity?

Yes No

If yes, please attach a copy of the documentation to this checklist.

1.2. COASTAL ZONE MANAGEMENT ACT (CZMA)

A federal consistency determination or certification pursuant to Section 307 of the Coastal Zone Management Act may be required from the state coastal zone management program, based on the answers to the following questions:

- 1) Will the proposed activity occur in or near the state designated coastal zone (<https://coast.noaa.gov/czm/media/StateCZBoundaries.pdf>)?

Yes No

- 2) Is the activity likely to have reasonably foreseeable effects on any land or water use or natural resource of the designated coastal zone?

Yes No

If the answer to either of these questions is "yes," contact the State Coastal Zone Management Program (<https://coast.noaa.gov/czm/about/?redirect=301ocm>) for further guidance on federal consistency requirements in your state. Additional information on federal consistency can be found at: <https://coast.noaa.gov/czm/consistency/>.

1.3 ENDANGERED SPECIES ACT (ESA)

A consultation pursuant to Section 7 of the Endangered Species Act and/or a permit and conservation plan pursuant to Section 10 may be required based on the answers to the following questions:

- 1) Will the proposed activity occur in proximity to threatened or endangered species or critical habitat as defined by the ESA and under the jurisdiction of the National Marine Fisheries Service (NMFS) (<http://www.nmfs.noaa.gov/pr/laws/esa/>) or the U.S. Fish and Wildlife Service (USFWS) (<http://www.fws.gov/endangered/>)?

Yes No

- 2) Will the proposed activity potentially affect threatened or endangered species or critical habitat as defined by the ESA and under the jurisdiction of NMFS or USFWS?

Yes No

If the answer to either of these questions is "yes," or you are unsure, contact the regional office of USFWS (<http://www.fws.gov/offices/>) and/or NMFS (<http://www.nmfs.noaa.gov/>) to determine if consultation is required. Most consultations are conducted informally with the federal agency or a designated non-federal representative. Non-federal representatives may be involved in the informal consultation process and may request and receive species lists, prepare the biological assessment, and provide information for the formal consultation. However, the USFWS requires the action agency to designate formally the non-federal representative in writing. Moreover, the ultimate responsibility for Section 7 obligations remains with the action agency. Additional information concerning Section 7 consultations can be found in the Endangered Species Act Consultation Handbook at: <http://www.fws.gov/policy/m0002.html>. Additional information concerning Section 10 permits and conservation plans can be found at: http://www.nmfs.noaa.gov/pr/permits/ESA_permits.html.

1.4 MIGRATORY BIRD TREATY ACT AND BALD AND GOLDEN EAGLE PROTECTION ACT The Migratory Bird Treaty Act makes it illegal for anyone to take, possess, import, export, transport, sell, purchase, barter, or offer for sale, purchase, or barter, any migratory bird, or the parts, nests, or eggs of such a bird except under the terms of a valid permit issued pursuant to Federal regulations. The migratory bird species protected by the Act are listed in 50 C.F.R. 10.13. The Bald and Golden Eagle Protection Act prohibits anyone, without a permit issued by the Secretary of the Interior, from "taking" bald eagles [or any golden eagle], including their parts, nests, or eggs. The Act defines "take" as "pursue, shoot, shoot at, poison, wound, kill, capture, trap, collect, molest or disturb." A permit may be required based on the answers to the following questions:

- 1) Will the proposed activity affect any migratory bird species protected by the Migratory Bird Treaty Act?

Yes No

- 2) Will the proposed activity affect any bald or golden eagles protected by the Bald and Golden Eagle Protection Act?

Yes No

If the answer to either question is "yes" or you are unsure, contact the regional office of USFWS (<http://www.fws.gov/offices/>). More information can be found at:

<http://www.fws.gov/birds/policies-and-regulations/laws-legislations/migratory-bird-treaty-act.php> and <http://www.fws.gov/birds/policies-and-regulations/laws-legislations/bald-and-golden-eagle-protection-act.php>.

1.5 MAGNUSON – STEVENS FISHERY CONSERVATION AND MANAGEMENT ACT (FCMA)

Consultation with the NMFS may be required if Essential Fish Habitat (EFH) is present and based on the answers to the following questions:

- 1) Will the proposed activity occur in proximity to Essential Fish Habitat as identified by the nearest Regional Fishery Management Council (<http://www.fisherycouncils.org/> and <http://www.nmfs.noaa.gov/sfa/management/councils/>)

Yes No

- 2) Will the proposed activity potentially adversely affect EFH?

Yes No

If the answer to either of these questions is “yes” or you are unsure, contact the nearest regional office of the NMFS (<http://www.nmfs.noaa.gov/>) or Regional Fishery Management Council (<http://www.fisherycouncils.org/>) to determine if consultation is required. Additional information concerning EFH can be found at: <http://www.habitat.noaa.gov/index.html>. Information about consultations can be found in the Essential Fish Habitat Consultation Guidance at: http://www.habitat.noaa.gov/pdf/efhconsultationguidancev1_1.pdf.

1.6 MARINE MAMMAL PROTECTION ACT (MMPA)

A permit may be required if an activity will result in the “take” of a marine mammal. Taking is defined as “to harass, hunt, capture, or kill, or attempt to harass, hunt, capture, or kill any marine mammal.” Permits for most marine mammals are issued by NMFS. Manatees, polar bears, sea otters, walruses, and dugongs, however, are under the jurisdiction of the USFWS.

- 1) Will the proposed activity occur in proximity to any known marine mammals (<http://www.nmfs.noaa.gov/pr/species/mammals/>)?

Yes No

- 2) Will the proposed activity likely result in the take of a marine mammal?

Yes No

If the answer to either of these questions is “yes,” or you are unsure, contact the nearest regional office of NMFS (<http://www.nmfs.noaa.gov/>) to determine if a permit is required. Additional information concerning marine mammal permits can be found at: <http://www.nmfs.noaa.gov/pr/> and http://www.nmfs.noaa.gov/pr/permits/mmpa_permits.html.

1.7 MARINE PROTECTION, RESEARCH, AND SANCTUARIES ACT (MPRSA)

Titles I and II of the Marine Protection, Research, and Sanctuaries Act (MPRSA), also referred to as the Ocean Dumping Act, generally prohibits (1) transportation of material from the United States for the purpose of ocean dumping; (2) transportation of material from anywhere for the purpose of ocean dumping by U.S. agencies or U.S.-flagged vessels; (3) dumping of material transported from outside the United States into the U.S. territorial sea. A permit may be required based on the answers to the following questions:

1) Does the proposed activity involve an activity covered by the MPRSA?

Yes No

If the answer to this question is "yes," contact the Environmental Protection Agency's (EPA's) Office of Wetlands, Oceans, and Watersheds/Oceans and Coastal Protection Division for further guidance (<http://www.epa.gov/aboutepa/about-office-water#wetlands>). Additional information about permits under the MPRSA can be found at: <http://www.epa.gov/laws-regulations/summary-marine-protection-research-and-sanctuaries-act>.

1.8 NATIONAL MARINE SANCTUARIES ACT

Each National Marine Sanctuary has its own unique set of regulations. There are some regulatory prohibitions that are typical for many sanctuaries: 1) discharging material or other matter into the sanctuary; 2) disturbance of, construction on or alteration of the seabed; 3) disturbance of cultural resources; and 4) exploring for, developing or producing oil, gas or minerals (with a grandfather clause for preexisting operations). A permit may be required from the National Oceanic and Atmospheric Administration (NOAA) based on the answers to the following questions:

1) Is the proposed activity located in a National Marine Sanctuary (<http://sanctuaries.noaa.gov/about/regions.html>)?

Yes No

If the answer to this question is "yes," contact the nearest Regional Office of NOAA's National Marine Sanctuaries Program for further guidance (<http://sanctuaries.noaa.gov/about/southeast.html>).

1.9 CLEAN WATER ACT (CWA)

A separate type of permit is required to dispose of dredge or fill material in the Nation's waters, including wetlands. Authorized by Section 404 of the Act, this permit program is administered by the U.S. Army Corps of Engineers (USACE), subject to and using environmental guidance from the EPA. Some types of activities are exempt from permit requirements, including certain farming, ranching, and forestry practices that do not alter the use or character of the land; some construction and maintenance; and activities already regulated by States under other provisions of the Act. A permit may be required from the USACE based on the answers to the following

questions:

- 1) Will the proposed activity result in any disposal of dredge or fill material to the nation's waters or wetlands?

Yes No

If the answer to this question is "yes," contact the Regulatory Program of the nearest District Office of the USACE (<http://w3.saj.usace.army.mil/permits/HQAvatar/index.htm>) for further guidance on Section 404 permits.

A Water Quality Certification (Section 401) is required for activities that may result in a discharge into navigable waters, including wetlands, watercourses, and natural or man-made ponds. A National Pollution Discharge Elimination System (NPDES) permit may also be required for such discharges.

- 1) Will the proposed activity result in any discharge to navigable waters?

Yes No

If the answer to this question is "yes," contact your state water quality agency for additional guidance. Additional information concerning Section 401 or NPDES requirements can be found at: <http://www.epa.gov/owow/wetlands/waterquality> and <http://cfpub.epa.gov/npdes/>

1.10 CLEAN AIR ACT (CAA)

Special conditions may be required on projects that could affect air quality, based on the answers to the following questions:

- 1) Will the proposed activity result in any direct or indirect emissions within a non-attainment area (<http://www3.epa.gov/airquality/greenbook/define.html>)?

Yes No

If the answer to this question is "yes," contact the nearest state air quality agency (<http://www.4cleanair.org>) for further guidance on determining conformity with the state implementation plan.

1.11 NATIONAL HISTORIC PRESERVATION ACT (NHPA) AND THE ARCHAEOLOGICAL AND HISTORIC PRESERVATION ACT (AHPA)

Special conditions may be required on projects that could affect historic resources, based on the answers to the following questions:

- 1) Will the proposed activity occur near property listed or eligible for listing in the National Register of Historic Places (<http://www.nps.gov/nr>), or near property otherwise protected

by section 106 of the National Historic Preservation Act (<http://www.achp.gov/nps.html>) or a similar State Preservation Act?

Yes No

If the answer to this question is "yes," or you are unsure, contact your state historic preservation office (<http://www.ncshpo.org/>) for further guidance concerning compliance requirements.

1.12 COASTAL BARRIER RESOURCE ACT (CBRA)

Federal funding may be prohibited for projects that occur on certain designated coastal barriers, based on the answer to the following questions:

- 1) Is the proposed activity located on an undeveloped coastal barrier designated by the Coastal Barriers Resources Act (<http://www.fws.gov/cbra/>)?

Yes No

If the answer to this question is "yes," contact the nearest Regional Office of USFWS (<http://www.fws.gov/where>) for further guidance.

1.13 RIVERS AND HARBORS ACT

A permit may be required from the USACE based on the answers to the following questions:

- 1) Will the proposed activity involve any work (including structures) that will occur in, over or under navigable waters of the United States?

Yes No

If the answer to this question is "yes," contact the Regulatory Program of the nearest District Office of the USACE (<http://w3.saj.usace.army.mil/permits/HQAvatar/index.htm>) for further guidance on Section 10 permits. The USACE can authorize activities by a standard individual permit, letter-of-permission, nationwide permit, or regional permit. The USACE will make the determination on what type of permit is needed.

1.14 RESOURCE CONSERVATION AND RECOVERY ACT (RCRA)

A RCRA permit may be required from the EPA or designated state agency based on the answers to the following question:

- 1) Will the proposed activity include the long-term storage, treatment, or disposal of hazardous materials or petroleum products?

Yes No

If the answer to this question is "yes," contact the nearest RCRA Regional Office of the EPA or state authorized agency (<http://www.epa.gov/compliance/resource-conservation-and-recovery->

[act-rcra-compliance-monitoring](#)) for further guidance on RCRA compliance.

1.15 COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION, AND LIABILITY ACT (CERCLA)

Special provisions and requirements may apply based on the answer to the following question: (<http://www.epa.gov/superfund/sites/index.htm>).

1) Will the proposed activity involve a Superfund site?

Yes No

If the answer to this question is "yes," contact the nearest Regional Office of the EPA (<http://www.epa.gov/aboutepa/visiting-regional-office>) for further guidance on CERCLA requirements.

1.16 WILD AND SCENIC RIVERS ACT

The Wild and Scenic Rivers Act prohibits federal support for actions such as the construction of dams or other instream activities that would harm the free-flowing condition, water quality, or outstanding resource values of a designated Wild and Scenic River. There are designated rivers in the Gulf Coast States and the Act may apply based on the answer to the following questions:

1) Is the proposed activity located on a designated Wild and Scenic River (<http://www.rivers.gov/index.php>)?

Yes No

If the answer to this question is "yes," contact the nearest Regional Office of the USFWS (<http://www.fws.gov/where>) for further guidance.

1.17 SAFE DRINKING WATER ACT

A permit may be required if the proposed activity will involve underground injection which may impact drinking water sources and based on the answer to the following question:

1) Will the proposed activity involve underground injection which may impact drinking water sources?

Yes No

If the answer to the question is "yes," contact the nearest state drinking water or underground injection control program. For more information see: <http://water.epa.gov/lawsregs/guidance/sdwa/>.

1.18 FARMLAND PROTECTION POLICY ACT (FPPA)

Projects are subject to FPPA requirements if they may irreversibly convert farmland (directly or indirectly) to nonagricultural use and are completed by a Federal agency or with assistance from a Federal agency. The project may be subject to the FPPA based on the answers to the following questions:

- 1) Will the proposed activity irreversibly convert farmland (directly or indirectly) to nonagricultural use?

Yes No

If the answer to the question is "yes," contact your local office of the Natural Resources Conservation Service (NRCS) or USDA Service Center. For more information see: http://www.nrcs.usda.gov/wps/portal/nrcs/detail/?cid=nrcs143_008275

EXECUTIVE ORDERS

Executive Orders are directives from the President of the United States to federal agencies and officials.

2.1 E.O. 11988 AND E.O. 13690 – FLOODPLAIN MANAGEMENT

Executive Order 11988, as amended by Executive Order 13690 requires that an eight-step process be followed for projects that may have potential impacts to or within floodplains.

- 1) Is the proposed activity located in a designated floodway or "V-zone" on a National Flood Insurance Program map: (<http://msc.fema.gov/portal>)?

Yes No

If the answer to this question is "yes," contact the nearest Regional Office of the Federal Emergency Management Agency (<https://www.fema.gov/regional-contact-information>) for further guidance.

2.2 E.O. 11990 and E.O. 12608 – WETLAND PROTECTION

This Executive Order requires agencies to avoid providing assistance for new construction located in wetlands unless there is no practicable alternative to such construction, and that the proposed action includes all practicable measures to minimize harm to wetlands which may result from such use. The Executive Order defines wetlands: "(c) The term "wetlands" means those areas that are inundated by surface or ground water with a frequency sufficient to support and under normal circumstances does or would support a prevalence of vegetative or aquatic life that requires saturated or seasonally saturated soil conditions for growth and reproduction. Wetlands generally include swamps, marshes, bogs, and similar areas such as sloughs, potholes, wet meadows, river overflows, mud flats, and natural ponds." (See <http://www.fws.gov/wetlands/Data/Mapper.html>)

1) Is any portion of the project proposing a new construction activity in wetlands?

Yes No

If the answer to this question is "yes," provide documentation in the grant application demonstrating that: (1) there is no practicable alternative, and (2) the proposed activity includes all practicable measures to minimize harm to wetlands.

2.3 E.O. 12898 – ENVIRONMENTAL JUSTICE

This Executive Order requires that "each federal agency shall make achieving environmental justice part of its mission by identifying and addressing, as appropriate, disproportionately high and adverse human health or environmental effects of its programs, policies, and activities on minority populations and low-income populations."

1) Will the proposed activity have disproportionately high and adverse human health or environmental effects on minority or low-income populations?

Yes No

If the answer to this question is "yes," see the Council on Environmental Quality website for further guidance on Environmental Justice: https://ceq.doe.gov/nepa_information/justice.html.

2.4 E.O. 13089 – CORAL REEF PROTECTION

This Executive Order requires that any actions that are authorized or funded by federal agencies not degrade the condition of coral reef ecosystems. Some of the Gulf Coast States contain coral reef ecosystems and include National Marine Sanctuaries (<http://sanctuaries.noaa.gov>).

1) Will the proposed activity involve a coral reef ecosystem or National Marine Sanctuary?

Yes No

If the answer to this question is "yes," contact the National Oceanic and Atmospheric Administration Coral Reef Conservation Program (<http://www.coralreef.noaa.gov>) for further guidance. Additional information regarding Executive Order 13089 can be found at: <https://ceq.doe.gov/nepa/regs/eos/eo13089.html>.

2.5 E.O. 13112 – INVASIVE SPECIES

This Executive Order requires agencies to prevent the introduction of invasive species and provide for their control.

- 1) Will the proposed activity have the potential to introduce or cause the spread of an invasive species? For more information on invasive species, see <http://www.invasivespeciesinfo.gov/index.shtml>.

Yes No

If the answer to this question is “yes,” provide documentation demonstrating that the benefits of the activity clearly outweigh the potential harm caused by invasive species, and that all feasible and prudent measures to minimize risk of harm will be taken in conjunction with the actions.

2.6 E.O. 13186 – RESPONSIBILITIES OF FEDERAL AGENCIES TO PROTECT MIGRATORY BIRDS This Executive Order requires the incorporation and promotion of migratory bird conservation considerations into all agency activities. The Gulf Coast States contain North American migration flyways.

- 1) Is the proposed activity likely to occur during a time of the year when migrating birds are in the vicinity?

Yes No

If the answer to this question is “yes,” contact the nearest Regional Office of the U.S. Fish and Wildlife Service (<http://www.fws.gov/where>) for further guidance. Additional information regarding Executive Order 13186 can be found at: <http://www.fws.gov/migratorybirds>.

2.7 E.O. 13653 – PREPARING THE UNITED STATES FOR THE IMPACTS OF CLIMATE CHANGE This Executive Order requires federal agencies to identify and support smarter, more climate- resilient investments by States, local communities, and tribes, including by providing incentives through agency guidance and grants.

- 1) Will the proposed activity incorporate elements that promote climate-resilience (e.g., to rising sea levels)?

Yes No


If yes, include a brief description of the climate-resilient elements in the grant application - proposed activity description.

Executive Order 13563 can be found at: <https://www.gpo.gov/fdsys/pkg/FR-2013-11-06/pdf/2013-26785.pdf>.

Report the status of your contact with required agencies/tribes on the table below which coincides with the environmental laws outlined in the checklist. Provide the date of contact, name of agency/tribe contacted, location, and any necessary permit, certification, or other determination or mitigation proposed by the agency/tribe. If none, state so.

Status of Contact Table

Federal law as listed in checklist	Date of contact	Name of agency/tribe contacted	Location	Permit, certification, determination or mitigation required
None				

Signature of Authorized Senior Official: 
 Name: Karin Wilson Date: 10/31/2017
 Title: Mayor Organization: City of Fairhope

RESTORE Act Milestones Report

Instructions for Completing Form:

Please complete Columns B-E in the initial report submitted as part of an application package. After a grant is awarded, complete Columns F-G for each milestone as applicable and submit as part of the performance reports. The values in Columns E and G should each total 100%. These milestones should reflect what is in the applicant's scope of work as described in the applicable RESTORE Act Direct Component Application Narrative.

Applicant/Grantee: City of Fairhope

Title: Working Waterfront and Greenspace Restoration - Phase I - Fairhope Municipal Pier and South Beach Park Improvements

Reporting Period Ending:* Grant Proposal Date: October 20, 2017

A. Milestone #	B: Milestone Description	C. Estimated Completion Timeframe of Milestone (Format: award + # of months)	D. Is milestone contingent upon completion of another milestone (Y/N)? If yes, which milestone is it contingent upon (# from Column A)?	E. What percentage of the Scope of Work is estimated to be completed with this milestone?	F. Actual Completion Date of Milestone (Format: Month/Year)	G. Estimate percentage of budget for the awarded Scope of Work spent on milestone
1	Completion of Conceptual Plans	award date + 2 months	No	2.00%		0.00%
2	Design Scope of Work Establish	award date + 3 months	No	4.00%		0.00%
3	Survey, Design and Engineering	award date + 9 months	Yes - 1 & 2	12.00%		0.00%
4	All regulatory Permitting	award date + 12 months	Yes - 3	4.00%		0.00%
5	Local P&Z Site Plan Approval	award date + 12 months	Yes - 1-4	4.00%		0.00%
6	Project Letting/Execution of Contract	award date + 14 months	Yes 1-5	4.00%		0.00%
7	Construction (site & vertical)	award date + 30 months	Yes 1-6	65.00%		0.00%
8	Project Acceptance and Close Out	award date + 36 months	Yes 1-7	5.00%		0.00%
This row is for Columns E and G Totals				100.00%		0.00%

Applicant/Grantee: City of Fairhope
Title: Working Waterfront and Greenspace Project
Reporting Period Ending: 12/31/2020
Goal(s): To construct improvements to the Fairhope Municipal Pier and South Beach Park

A. Eligible Activity #	B. Measure #	C. Measure	D. Baseline	E. Target	F. Target Date	G. Progress Toward Target (reporting period)	H. Progress Toward Target (cumulative)	I. Status/Next Steps
6	1	Execution of professional services contracts for grant administration, architecture and engineering	0	3	3/31/2018			
6	2	Engineering Design Complete	0	1	9/30/2018			
6	3	Regulatory Permitting Complete/Plan Approvals	0	1	12/31/2018			
6	4	Project Letting/Execution of Contract Complete	0	1	6/1302019			
6	5	Construction Completed/Project Close-Out	0	1	12/312020			

RESTORE Act Direct Component Applicant Certifications Department of the Treasury

OMB Approval No. 1505-0250

Directions: These certifications are required by federal law and Department of the Treasury (Treasury) regulations to be submitted with each application to Treasury for financial assistance under the RESTORE Act Direct Component. The certifications must be signed by an authorized senior official of the Applicant who can legally bind the entity and has oversight for the administration and use of the Direct Component funds.

A. RESTORE Act Certification

Pursuant to the RESTORE Act, I certify that for any award Agreement resulting from this application:

1. Each activity funded under this Agreement has been primarily designed to restore and protect [select all that are appropriate: the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, or economy] of the Gulf Coast region.
2. Each activity funded under this Agreement is designed to carry out one or more of the eligible activities for the Direct Component.
3. Each activity funded under this Agreement was selected after consideration of all meaningful input from the public, including broad-based participation from individuals, businesses, Indian tribes, and nonprofit organizations, as described in the grant application.
4. Each activity funded under this Agreement that protects or restores natural resources is based on the best available science, as that term is defined in 31 C.F.R. Part 34.
5. This recipient has procedures in place for procuring property and services under this award that are consistent with the procurement standards applying to Federal grants. This recipient will not request funds under this award for any contract unless this certification remains true and accurate.
6. Pursuant to 2 C.F.R. § 200.303, this recipient will establish and maintain effective internal control over any award made based on this application that provides reasonable assurance that this recipient is managing the award in compliance with Federal statutes, regulations, and the terms and conditions of the award. No material deficiencies in this recipient's internal controls are known.
7. A conflict of interest policy consistent with 2 C.F.R. § 200.318(c) is in effect and covering each activity funded under this Agreement.
8. This recipient will comply with Title VI of the Civil Rights Act of 1964, the Rehabilitation Act of 1973, and all other applicable federal laws and regulations concerning anti-discrimination.

I make each of these certifications based on my personal knowledge and belief after reasonable and diligent inquiry, and I affirm that this Applicant maintains written documentation sufficient to support each certification made above, and that this Applicant's compliance with each of these certifications is a condition of this Applicant's initial and continuing receipt and use of the funds provided under this Agreement.

B. Certification Regarding Debarment, Suspension, and Other Responsibility Matters -- Primary Covered Transactions

Instructions: The inability of an applicant to provide the certification required below will not necessarily result in the denial of participation in

this covered transaction. The prospective Applicant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with Treasury's approval of the proposed Application. However, failure of the Applicant to furnish a certification or an explanation shall disqualify such person/entity from participation in this transaction. Please be advised of the following:

1. This certification is a material representation of fact upon which reliance is placed when Treasury determines to enter into this transaction. If it is later determined that the Applicant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, Treasury may terminate this transaction for cause or default.
2. The Applicant shall provide immediate written notice to Treasury if at any time the Applicant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
3. The terms "covered transactions," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal", and "voluntarily excluded," as used in this clause (certification), have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact Treasury for assistance in obtaining a copy of those regulations (31 C.F.R. Part 19).
4. The Applicant agrees by submitting this Application that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by Treasury.
5. The Applicant further agrees by submitting this Application that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," to be provided by Treasury, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions (see 31 C.F.R. Part 19, Appendix).
6. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
7. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
8. Except for transactions authorized under paragraph 4 of this certification, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended,

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1505-0250. The time required to complete this information collection is estimated to average 10 hours, including the time to review instructions, search existing data resources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Comments concerning the accuracy of the time estimate and suggestions for reducing this burden should be directed to the Department of the Treasury, RESTORE Act Program, 1500 Pennsylvania Ave., NW, Washington, DC 20005.

debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, Treasury may terminate this transaction for cause or default.

By signing and submitting this Application, the prospective primary participants (the Applicant) is providing the certification set out below. The prospective primary participant (the Applicant) certifies to the best of its knowledge and belief, that it and its principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
2. Have not within a three-year period preceding this Application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
4. Have not within a three-year period preceding this Application had one or more public transactions (Federal, State or local) terminated for cause or default.

Where the Applicant is unable to certify to any of the statements in this certification, such Applicant shall attach an explanation to this proposal.

C. Certification Regarding Drug-Free Workplace Requirements

The Applicant certifies that it will provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employee for violations of such prohibition;
2. Establishing a drug-free awareness program to inform employees about:
 - a. The dangers of drug abuse in the workplace;
 - b. The Applicant's policy of maintaining a drug-free workplace;
 - c. Any available drug counseling, rehabilitation, and employee assistance program;
 - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
3. Making it a requirement that each employee to be engaged in the performance of the award be given a copy of the statement required by paragraph (1) of this certification;
4. Notifying the employee in the statement required by paragraph (1) of this certification that, as a condition of employment in such grant, the employee will:
 - a. Abide by the terms of the statement, and
 - b. Notify the employer of any criminal drug use statute conviction for a violation occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the granting agency in writing, within ten calendar days after receiving notice of a conviction under paragraph (4)(b) of this certification from an employee or otherwise receiving actual notice of such conviction;

6. Taking one of the following actions, within 30 days of receiving notice under paragraph (4)(b) of this certification, with respect to any employee who is so convicted:

- a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1 through 6 above.

D. Certification Regarding Lobbying

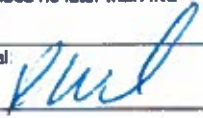
The Applicant certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the Applicant, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

3. The Applicant shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by title 31 U.S. Code section 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature of Authorized Senior Official: 	
Name: Karin Wilson	Date: 10/31/2017
Title: Mayor	Organization: City of Fairhope



ALABAMA GULF COAST RECOVERY COUNCIL

Subrecipient Questionnaire

This questionnaire is used to help determine a subrecipient organization's financial and management strength, which helps assess risk and dictates the monitoring plan for subrecipients. Please complete the following questionnaire and submit all related documents as necessary.

SECTION A: GENERAL INFORMATION	
Project Title:	Fairhope Working Waterfront and Greenspace Project
Point of Contact for matters concerning this project:	Name: Sherry-Lea Bloodworth Botop Address: 161 N. Section Street, Fairhope, AL 36532 Phone: (202) 805-5476 Fax: Email: sherrylea@fairhopeal.gov URL: DUNS #: 071935902 EIN: 636001254 Reg. in SAM? Yes <input type="radio"/> No <input checked="" type="radio"/> Number of Employees: 288 Exp. Date of Current SAM Registration: <u>6/26/18</u>
SECTION B. SUBRECIPIENT ELIGIBILITY	
Is your organization or your organization's principals presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency?	
<input type="radio"/> Yes <input checked="" type="radio"/> No	
If yes, please skip the rest of the questionnaire, sign and return the questionnaire with the Project Evaluation Packet.	
SECTION C. SUBRECIPIENT ORGANIZATION INFORMATION (please fill out the information below, as appropriate)	
1. Type of organization (check all that apply):	
<input type="radio"/> University <input checked="" type="radio"/> Government Entity <input type="radio"/> Foundation <input type="radio"/> Non-Profit Org <input type="radio"/> For-Profit Org <input type="radio"/> Other	
2. Fiscal year dates (month and year):	
October 2017	
3. Name of designated federal cognizant agency, if applicable:	
N/A	

4. Negotiated Indirect Cost Rate:

Yes No URL: _____

If yes, please provide a copy of your current rate agreement or provide the URL. If no, a de minimis rate of 10% of MTDC will be used in accordance with 2 CFR 200.414.

5. Fringe Benefit rate:

Yes No URL: _____

If yes, please provide a copy of your current fringe benefit rate memorandum or provide the URL.

6. Has organization received in the past the same or similar Federal subawards to the current subaward? (2 CFR 200.331)

Yes No

If yes, subrecipient hereby agrees to provide further documentation upon request.

7. Does organization have on-going direct Federal awards? (2 CFR 200.331)

Yes No

If yes, is the awarding agency currently monitoring subrecipient activity?

Yes No

If yes, please describe:

8. Please certify policies and/or procedures exist that address the following:

- | | | |
|---|---|--|
| <input checked="" type="radio"/> Pay Rates and Benefits | <input checked="" type="radio"/> Conflict of Interest | <input checked="" type="radio"/> Purchasing |
| <input checked="" type="radio"/> Time and Attendance | <input checked="" type="radio"/> Travel | <input checked="" type="radio"/> Equipment & Inventory |
| <input checked="" type="radio"/> Leave | | |

By signing this document, subrecipient certifies that policies and/or procedures shown above are in place. If not, then subrecipient agrees to abide by the State's policies and/or procedures.

9. Is Government property inventory maintained that identifies purchase date, cost, vendor, description, serial number, location, and ultimate disposition data?

Yes No N/A

10. Has any new system been recently put in place or has there been any change to the existing system (e.g., accounting, information, management, etc.)? (2 CFR 200.331)

Yes No

If yes, please explain:

11. Does organization have any new personnel (e.g., key personnel, financial management, grants management, IT management, or other staff serving in grants administration role)? (2 CFR 200.331)

Yes No

If yes, please explain:

12. Has organization in the preceding fiscal year expended any federal funds in either direct or indirect Federal awards?

Yes No

If yes, please indicate the expenditure amount:

13. Have annual financial statements been audited by an independent audit firm? If yes, provide a copy of the statements for the most current fiscal year.

Yes No

14. Does organization adhere to Subpart E Cost Principles of 2 CFR 200 under the proposed subaward?

Yes No N/A

15. Does organization have a financial management system that provides records that can identify the source and application of funds for award-supported activities?

Yes No

16. Does the financial system provide for the control and accountability of project funds, property, and other assets?

Yes No

17. Are duties separated so that no one individual has complete authority over an entire financial transaction?

Yes No

If no, please explain below:

18. Does your organization have controls to prevent expenditure of funds in excess of approved, budgeted amounts?

Yes No

If no, please explain below:

19. Are all disbursements properly documented with evidence of receipt of goods or performance?

Yes No

If no, please explain below:

20. Are all bank accounts reconciled monthly?

Yes No

If no, please explain below:

21. Are payroll charges checked against program budgets?

Yes No

If no, please explain below:

22. What system does your organization use to control paid time, especially time charged to sponsored agreements?

A separate time log is kept for time charged to sponsored to agreements. The time log complies with program specific guidelines, as well as the 2 CFR Part 200.

23. Does the organization have procedures which provide assurance that consistent treatment is applied in the distribution of charges to all sponsored agreements, grants and contracts?

Yes No

If no, please explain below:

24. Does your organization have a formal policy of nondiscrimination and a formal system for complying with Federal civil rights requirements?

Yes No

If no, please explain below:

25. Describe your organization's procedures to ensure that costs deemed unallowable, per Federal guidelines (2 CFR 200), are excluded from the amount charged to a grant?

The Project Director, Finance Director, and Grant Administrator will ensure that costs charged to the grant strictly adhere to the approved grant budget. Key personnel are familiar with unallowable costs as defined in the 2 CFR Part 200 and the OMB Circular A-110, A-21 or A-133.

26. Are there procedures to ensure procurement at competitive prices?

Yes No

If no, please explain below:

27. Are detailed records of individual capital assets kept and periodically balanced with the general ledger accounts?

Yes

No

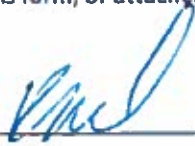
If no, please explain below:

28. How does the organization ensure that all cost transfers are legitimate and appropriate?

Every effort is made to charge costs to the allowable and allocable cost center. If a cost transfer is necessary, it will be adequately documented.

Authorized Representative Approval

By signing below, the authorized representative certifies, to the best of subrecipient's knowledge, all information submitted on this form, or attached for submission to ADCNR, is accurate and complete.



Date: 10/31/2017

Signature

Karin Wilson, Mayor

Printed Name & Title

For ADCNR Use Only:

Risk Level Determination: Lower Medium Higher

Notes: _____

Approved: _____ Date: _____

Application for Federal Assistance SF-424

* 1 Type of Submission <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2 Type of Application <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
---	---	--

* 3 Date Received: 10/31/2017	4 Applicant Identifier: _____
---	---

5a Federal Entity Identifier: _____	5b Federal Award Identifier: _____
---	--

State Use Only:

6 Date Received by State: _____	7 State Application Identifier: _____
--	--

8. APPLICANT INFORMATION:

* a. Legal Name: City of Fairhope	
* b. Employer/Taxpayer Identification Number (EIN/TIN): 636001254	* c. Organizational DUNS: 0719359020000

d. Address:

* Street1:	161 N. Section Street
Street2:	_____
* City:	Fairhope
County/Parish:	_____
* State:	AL: Alabama
Province:	_____
* Country:	USA: UNITED STATES
* Zip / Postal Code:	36532-6556

e. Organizational Unit:

Department Name: _____	Division Name: _____
----------------------------------	--------------------------------

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: _____	* First Name: Karin
Middle Name: _____	
* Last Name: Wilson	
Suffix: _____	
Title: Mayor	
Organizational Affiliation: City of Fairhope	
* Telephone Number: (251) 928-2136	Fax Number: _____
* Email: karin.wilson@fairhopeal.gov	

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*** Other (specify):**

*** 10. Name of Federal Agency:**

Office of Gulf Coast Restoration, Department of the Treasury

11. Catalog of Federal Domestic Assistance Number:

CFDA Title

*** 12. Funding Opportunity Number:**

GR-RDC-17-006

*** Title:**

RESTORE Act Direct Component

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

City of Fairhope Working Waterfront and Greenspace Project

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date

* b. End Date

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="6,200,000.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="6,200,000.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
 Middle Name:
 * Last Name:
 Suffix:

* Title:

* Telephone Number Fax Number

* Email:

* Signature of Authorized Representative:

* Date Signed

BUDGET INFORMATION - Construction Programs

NOTE: Certain Federal assistance programs require additional computations to arrive at the Federal share of project costs eligible for participation. If such is the case, you will be notified.

COST CLASSIFICATION	a. Total Cost	b. Costs Not Allowable for Participation	c. Total Allowable Costs (Columns a-b)
1. Administrative and legal expenses	\$ 250,000.00	\$	\$ 250,000.00
2. Land, structures, rights-of-way, appraisals, etc.	\$	\$	\$
3. Relocation expenses and payments	\$	\$	\$
4. Architectural and engineering fees	\$ 418,766.00	\$	\$ 418,766.00
5. Other architectural and engineering fees	\$ 78,000.00	\$	\$ 78,000.00
6. Project inspection fees	\$ 281,920.00	\$	\$ 281,920.00
7. Site work	\$	\$	\$
8. Demolition and removal	\$	\$	\$
9. Construction	\$ 4,701,447.00	\$	\$ 4,701,447.00
10. Equipment	\$	\$	\$
11. Miscellaneous	\$	\$	\$
12. SUBTOTAL (sum of lines 1-11)	\$ 5,730,133.00	\$	\$ 5,730,133.00
13. Contingencies	\$ 469,867.00	\$	\$ 469,867.00
14. SUBTOTAL	\$ 6,200,000.00	\$	\$ 6,200,000.00
15. Project (program) income	\$	\$	\$
16. TOTAL PROJECT COSTS (subtract #15 from #14)	\$ 6,200,000.00	\$	\$ 6,200,000.00

FEDERAL FUNDING

17. Federal assistance requested, calculate as follows:
 (Consult Federal agency for Federal percentage share) Enter eligible costs from line 16c Multiply X 100 %
 Enter the resulting Federal share. \$ 6,200,000.00

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.


PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.) as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514, (b) notification of violating facilities pursuant to EO 11738, (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION City of Fairhope	DATE SUBMITTED 10/31/2017

Viewer Map



October 20, 2017

- Misc
- County Boundary
- Parcels
- Lot Lines

1:4,514



KCS
Sources: Esri, HERE, DeLorme, USGS, Intermap, INCREMENT P, NRCan.



MAP SCALE 1" = 500'
0 500 1000 FEET

PANEL 02A3L

FIRM
FLOOD INSURANCE RATE MAP

BALDWIN COUNTY,
ALABAMA
AND INCORPORATED AREAS

PANEL 643 OF 1180
(SEE LOCATION DIAGRAM ON MAP SHEET FOR
FIRM PANEL LAYOUT)

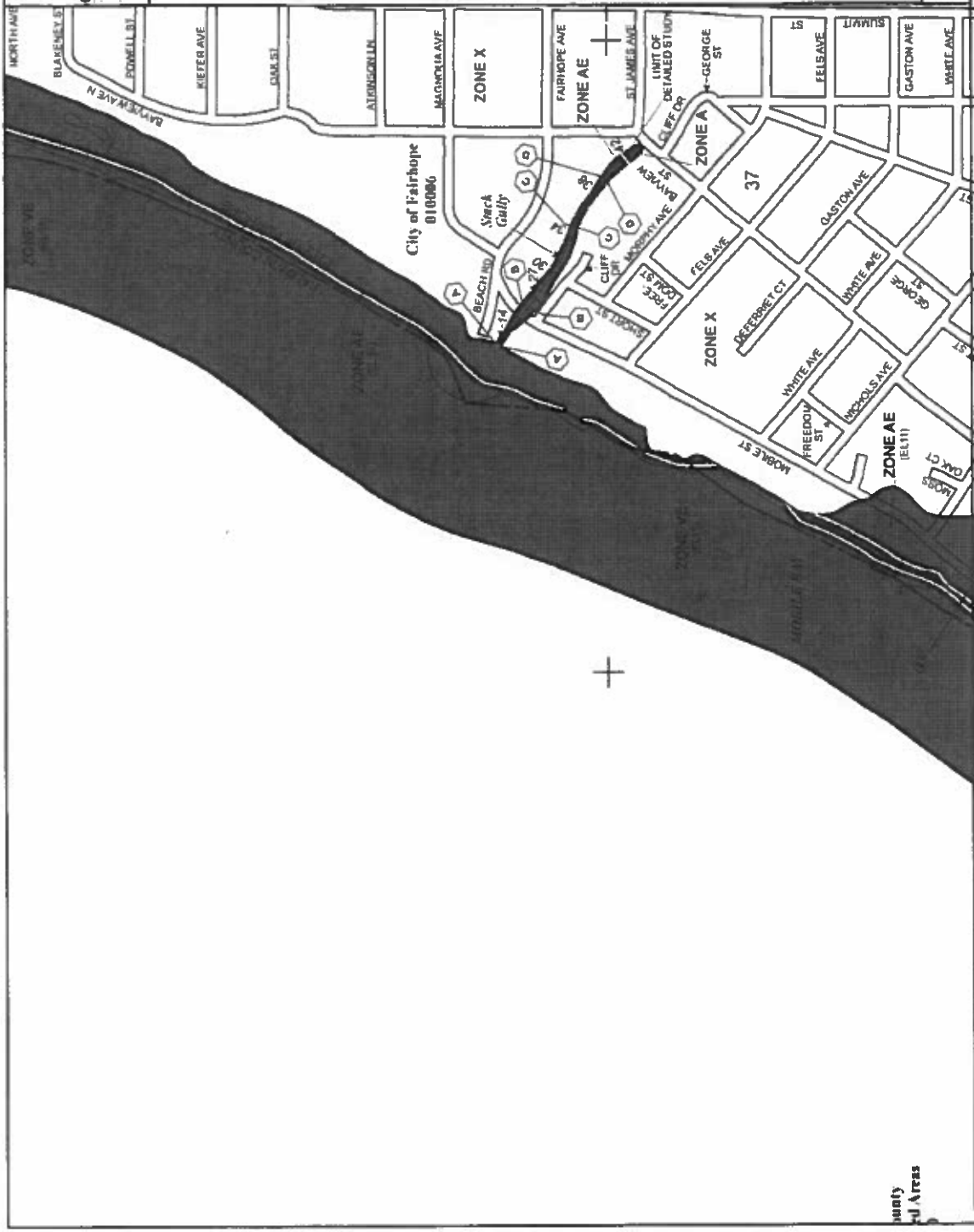
CONTRACT NO. 000000000000
DATE 00/00/00
SHEET 000 OF 000

DATE OF MAP 00/00/00
DATE OF REVISION 00/00/00

MAP NUMBER 018000000000
JULY 17, 2007

State of Alabama
Federal Emergency Management Agency

This is an official copy of a portion of the official Flood Insurance Rate Map (FIRM) for Baldwin County, Alabama, and incorporated areas. This map shows the flood insurance risk areas for the community shown. The map is based on the National Flood Insurance Program's Flood Insurance Study for Baldwin County, Alabama, and incorporated areas. The map is based on the National Flood Insurance Program's Flood Insurance Study for Baldwin County, Alabama, and incorporated areas. The map is based on the National Flood Insurance Program's Flood Insurance Study for Baldwin County, Alabama, and incorporated areas.



City
and Areas

CHRISTIANPREUS

Landscape Architecture

Mailing:
307 De La Mare Avenue
Fairhope, AL 36532

1011 Desola Street
Ocean Springs, MS 39564

T: 855.539.5086
M: 228.547.8586
F: 855.539.5086

christianpreus.com

October 25, 2017

Ms. Sherry-Lea Bloodworth Batop
(via email: sherrylea@fairhopeal.gov)

Re: Working Waterfront and Greenspace Restoration - Phase I - Fairhope
Municipal Pier and South Beach Park Improvements

Dear Sherry-Lea:

Please find below, a proposed Estimated Useful Life for infrastructure and a description of the method used to determine the Estimated Useful Life of the project. The project will be designed for a minimum 50 year maintained service life based on accepted industry standards and design practices. Specifically, the average useful life of the following infrastructures is:

1. Roadways
 - Concrete 30 years
 - Asphaltic Concrete 20 years
 - Brick or Paver 50 years
2. Sidewalks
 - Concrete 30 years
 - Asphalt 25 years
 - Brick or Stone 50 years
(subject to weather conditions)
3. Parking Lots
 - Concrete 35 years
 - Asphalt 15 years
 - Brick or Stone 45 years
4. Street/Parking/Pier Lighting
 - Concrete 30 years
 - Metal 20 years
 - Wood 15 years

5.	Storm Drains	
	• Plastic	25 years
	• Cast Iron	30 years
	• Metal Corrugated	30 years
	• Concrete	40 years
	• Ditch/Trench	100 years
	• Berms	20 years
6.	Marinas	
	• Piers	50 years
	• Seawalls	50 years
	• Bulkheads	50 years
7.	Bike/Jogging Paths	
	• Dirt	10 years
	• Gravel	15 years
	• Concrete	30 years
	• Asphalt	20 years
	• Composite Rubber	7 years
	• Brick of Stone	50 years
8.	Land Improvements	
	• Fencing, gates	20 years
	• Landscaping	10 years
	• Stadium (viewing gallery)	45 years
	• Fountains	20 years
	• Retaining Walls	20 years
9.	Buildings – General	
	• Permanent Structures	50 years
	• Portable Structures	25 years

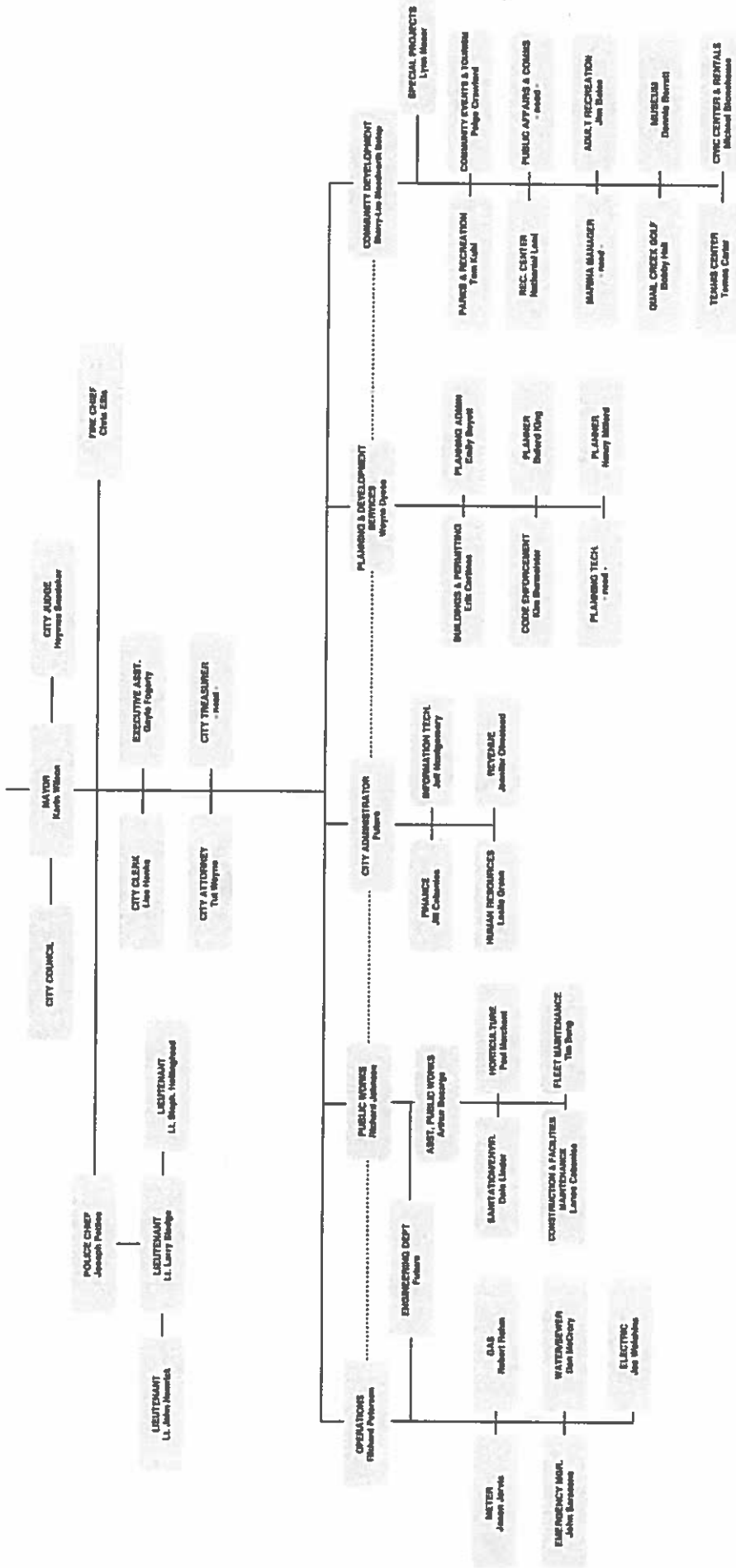
The average useful Life of the proposed infrastructure was determined by using the Institute of Infrastructure Asset Management (IIAM) methodology. The IIAM approach to infrastructure asset management is based upon the definition of a Standard of Service (SoS) that describes how an asset will perform in objective and measurable terms.

Sincerely,



Christian H. Preus, PLA, ASLA
Principal

CITIZENS OF FAIRHOPE



CITY OF FAIRHOPE, ALA.
 Karin Wilson, Mayor

City of Fairhope
 10000 Highway 90
 Fairhope, AL 36525
 www.fairhopeal.com

Support for Utilities & Public Works
 Provided by Judy Canich and Carol Childerson



Alabama Gulf Coast Recovery Council
Proposal Evaluation for Direct Component Summary Sheet

Project Name: Fairhope Working Waterfront and Green Space Project Project ID: 332

Requested Funding: \$ 6,200,000 Additional Funding Sources Amount: \$0

Additional Funding Secured? Y N Unknown

Can Funding be secured from other sources? Y N Unknown

Geographic Area of Project: Fairhope, Mobile County

Restore Act Project Classification: Infrastructure benefiting the economy

1. Key Activities Identified:

- upgrades and improvements to Fairhope Municipal Pier and South Beach Park (all inclusive upgrades)
- suggests Pier and Green space is a Phase 1 project (infers a broader desire on the Eastern shore to protect / enhance resilience of shoreline)

2. Status of Project Readiness/Time to Completion:

- no E&D / permitting has occurred on site
- Time to completion: 36 months

3. Summary of potential risks to implement and maintain proposed activities:

- In all construction projects there are risks, that can easily be mitigated, including:
- cost overages on construction estimates
 - contractor performance
 - environmental circumstances that halt or reduce construction progress

4. Permit(s) Required: Y N Unknown

5. If yes, status of permit(s): Have not submitted application Application(s) submitted
 Permit(s) obtained

6. Described benefit/need to the community/region:

- it is unclear how the park and pier are used currently; the state of the sites currently is and how the improvements would increase economic use of the site

7. Comments and summary from independent evaluation:

- seems like the project includes all necessary upgrades, without a definitive understanding or idea of what exact repairs, improvements are needed.
- it's unclear to the current state of affairs of the resilience of the project, is the edge going to be a bulkhead, living shoreline, combination of both?
- Federal procurement standards will apply (2 CFR 200).
- Pass-through award, increase monitoring effort by ADCNR.
- difficult to assess permitting requirements as there is not a clear picture of what the needs are for construction

Supplemental Evaluation Information

Project Name: Fairhope Working Waterfront and Green Space

Project ID: 332

Does project:

8. Demonstrate benefits in relation to cost of project: Y N NA

Reviewer Comments

- unsure of the benefits of the project based on the \$6.2 million estimate.

9. Quantify or qualify Short-term/long-term economic benefits: Y N NA

Reviewer Comments

- the proposal describes coastal resiliency as a benefit of the project. It is unclear what is the current state of the coastal edge?

10. Adequately demonstrate need: Y N NA

Reviewer Comments

- The current state of the pier and green space and the need for improvements to the areas is unclear

- is the edge going to be a bulkhead, living shoreline, combination of both?

11. Prevent adverse impacts elsewhere: Y N NA

Reviewer Comments

- construction activities would be localized to the site and would not have any adverse impacts off site

12. Expand/promote an existing industry or offers diversification: Y N NA

Reviewer Comments

- the project seeks to improve a current green space and pier and does not have any direct ties to existing industry or diversification of that industry.

13. Demonstrate short- or long-term job creation: Y N NA

Reviewer Comments

- the improvements to the park and pier would attract small business, tourists, and increase economic development which could lead to jobs

- there are several assumptions of build it and they will come (tourism, ecotourism, seafood industry) that we would want to discuss before committing the project to those aspects.

14. Provide measurable outcomes: Y N NA

Reviewer Comments

15. Address potential risks and uncertainties: Y N NA

Reviewer Comments

16. Address use of cutting-edge technology: Y N NA

Reviewer Comments

- construction will utilize green infrastructure as much as possible

17. Address environmental compliance needs and status: Y N NA

Reviewer Comments

- several environmental compliance checks will have to be made dependent on the state of the construction activities, especially those in the water (bulkhead, living shoreline, pier repairs)

- boxes currently check no (CWA 404, NMFS, MMPA) may come into play depending on the level of in water construction

- difficult to assess permitting requirements as there is not a clear picture of what the needs are for construction

18. Demonstrate post-implementation sustainability, including recurring costs: Y N NA

Reviewer Comments

- city has suggested that they have maintenance and ground landscaping funds to maintain the green space / pier through the City of Fairhope Public Works Department.

19. Demonstrate budget reasonableness: Y N NA

Reviewer Comments

- very precise numbers for E&D, architectural services and construction, but there is not a very clear articulation / understanding of what is to be constructed

-There are many potential initiatives listed in this Working Waterfront infrastructure application. Suggested methods to manage overall cost considerations would be to complete the overall Master Plan and then prepare a master budget to include all Architectural/Design services, permitting costs & fees associated with the design elements, applicable construction costs and maintenance cost over the life of the project.

It would appear that the multiple components listed in the application could not be totally funded within the \$6,200,000.00 budget being applied for but, the upfront planning and costing would allow informed decisions to be made concerning overall affordability of specific elements.

20. If Best Available Science is required, is narrative adequate? Y N NA

Reviewer Comments

- Infrastructure - no need for BAS

21. Can project be phased? Y N NA

Reviewer Comments

- phased for E&D / permitting

- phased for construction

22. Is project included in an existing strategic/comprehensive plan? Y N

Reviewer Comments

- no comprehensive plan, community plan, or city plan were mentioned in the scope of work

23. Feasibility and Logistics (next steps, hurdles, barriers, other considerations)

- will need significantly more specific details for grant applications or remain vague with special award conditions.
- likely needs a discussion or planning exercise to determine what are the key construction features

24. Additional Options (phasing, etc.)

Phasing could occur on two fronts:

- E&D and permitting being a phase
- Construction activities occurring on a phased approach

25. Additional Comments from Reviewer

- need clearer vision for the types of construction, what is being constructed, how those construction activities truly make the project more resilient (may not even want to use this term as it is not needed for the eligibility activity chosen)
- need clearer understanding of current state of park/pier, current state of coastal edge

Reviewed By: _____

Printed Name

QAQC By: _____

Printed Name